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OUR REF.:

George H. Gates G&C 122.45-US-U1

TELEPHONE:

(310) 642-4146

Total pages, including cover letter: 6

PTO FAX NUMBER: (703) 872-9306

If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

Title of Document Transmitted:	INFORMATION DISCLOSURE STATEMENT AND FORM PTO-1449.			
Applicants:	Torsten Wipiejewski et al.			
Serial No.:	10/723,976			
Filed:	November 26, 2003			
Group Art Unit:	2633			
Title:	PHOTONIC DEVICE WITH SEGMENTED ABSORPTION DESIG			
Our Ref. No.:	G&C 122.45-US-U1			

Please charge all fees to Deposit Account No. 50-0494 of Gazes & Cooper LLP.

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Office on the date shown below.

G&C 122.45-US-U1

Confirmation No.: 9501

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Torsten Wipiejewski et al.

Examiner:

Not yet assigned

Serial No.:

10/723,976

Group Art Unit:

2633

November 26, 2003

Docket

G&C 122.45-US-U1

Filed: Title:

PHOTONIC DEVICE WITH SEGMENTED ABSORPTION DESIGN

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

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Signature:

Typed Name of Person Mailing this Certificate: Suzie McCleave

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Alexandria, VA 22313-1450

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☑ Information Disclosure Statement and Form PTO-1449.

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Customer Number 22462

GATES & COOPER LLP

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045

(310) 641-8797

Name: George H. Gates

Reg. No.: 33,500

GHG/sjm

10-22-2004 03:23PM FROM-Gates & Cooper LLP

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Confirmation No.: 9501

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Signature:

Typed Name of Person Mailing this Certificate: Suzie McCleave

## INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir.

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-ments. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign patent document and each non-patent document listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35

U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

G&C 122.45-US-U1

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

GATES & COOPER LLP Attorneys for Applicant(s)

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, California 90045

Reg. No.: 33,500

(310) 641-8797

Date: October 22, 2004

GHG/spm

Date Mailed: October 22, 2004

Sheet 1 of 1

Form 1449*	Docket Number: G&C 122.45-US-U1	Application Number: 10/723,976
INFORMATION DISCLOSURE STATEMENT	Applicant Torsten Wipiejewski et al.	
IN AN APPLICATION	Filing Date: November 26, 2003	Group Art Unic 2633

		·	S. PATENT DOCUMENTS	<del> </del>		I	
XAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	6,628,690	09/30/03	Fish et al.				
	6,614,819	09/02/03	Fish et al.		ļ		
	6,574,259	06/03/03	Fish et al	<u> </u>	<u> </u>		
		<u> </u>	FOREIGN PATENTS			_	
	DOCUMENT NO.		COUN'IRY	CLASS	SUBCLASS	TRANSLATION	
						YF.S	NO
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		<u> </u>			<u> </u>		<u></u>
	NON-PA	TENT DOCUM	ENTS (Including Author, Title, Dr	ate, Pertinent Pa	es, Ecc)		
	NON-PA	TENT DOCUM	2N 15 (including Author, Title, Dr	uc, Perinent Pa	(20, 1312)		_

EXAMINER:	DATE CONSIDEREO:			
	f. ACRED COD down line shough simpler if not in			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in				
conformance and not considered. Include copy of this form for next communication to the Applicant.				

\*Substitute Disclosure Statement Form (PTO-1449)

Parent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

G&C 122.45-US-U1